

Graphs with the Balas-Uhry property

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Abstract

We characterize graphs H with the following property: Let G be a graph and F be a subgraph of G such that (i) each component of F is isomorphic to H or K_2 , (ii) the order of F is maximum, and (iii) the number of H -components in F is minimum subject to (ii). Then a maximum matching of F is also a maximum matching of G . This result is motivated by an analogous property of fractional matching discovered independently by J. P. Uhry and E. Balas.

This article is motivated by a property of fractional matchings discovered by J.P. Uhry[8] and E. Balas[2]. We characterize the class of graphs to which the property can be naturally extended.

We consider finite graphs without loops or multiple edges. Let K_2 denote the complete graph of order two and C_n denote the cycle of order n . Let $\{A_i | i \in I\}$ be a set of graphs. A subgraph F of a graph G is called an $\{A_i | i \in I\}$ -subgraph if each component of F is isomorphic to one of $\{A_i | i \in I\}$. A component of F that is isomorphic to A_i is called an A_i -component. A spanning $\{A_i | i \in I\}$ -subgraph is called an $\{A_i | i \in I\}$ -factor. An $\{A_i | i \in I\}$ -subgraph F is said to be maximum if there is no $\{A_i | i \in I\}$ -subgraph F' such that $|V(F')| > |V(F)|$. A fractional matching is a $\{K_2, CC_{2n+1} | n \geq 1\}$ -subgraph, and J.P. Uhry and E. Balas proved the following theorem:

Theorem 1 ([8,2]). *Let F be a maximum fractional matching of a graph G having minimum number of odd cycles. Then a maximum matching of F is also a maximum matching of G .*

We say that a set $\{H_i | i \in I\}$ of graphs has the Balas-Uhry property if for every graph G , a maximum matching of a maximum $\{K_2, H_i | i \in I\}$ -subgraph of G with minimum number of H -components is also a maximum matching of G , where an H -component means an H_i -component for any i . If the set $\{H_i | i \in I\}$ consists of exactly one graph H , then we say that the graph H has the Balas-Uhry property. Note that a maximum matching of a $\{K_2, H_i | i \in I\}$ -subgraph F is obtained from F by replacing each H -component by a maximum matching in it.

We expect the reader to be familiar with the basic notation of matching theory (see, e.g., [7]). A graph G is said to be perfectly matchable if G has a perfect matching. A matching with one exposed (unsaturated) vertex is called a near-perfect matching. We recall that the deficiency $\text{def}(G)$ of G is the number of vertices unsaturated by a maximum matching. We denote by $D(G) \cup A(G) \cup C(G)$ the Gallai-Edmonds decomposition of G . Namely, every maximum matching of G contains a near-perfect matching of each component of $D(G)$, a perfect matching of each component of $C(G)$ and matches all vertices of $A(G)$ with vertices in distinct components of $D(G)$. For two sets X and Y , we write $X \triangle Y = (X/Y) \cup (Y/X)$. We prove the following two theorems:

Theorem 2 *A set $\{H_i | i \in I\}$ of connected graphs has the Balas-Uhry property if one of the following holds for every i :*

- (i) H_i is perfectly matchable; or
- (ii) $\text{def}(H_i) = 1$ and $C(H_i) = \emptyset$.

Theorem 3 *Let H be a connected graph having no perfect matching. If $\text{def}(H) > 1$ or $C(H) \neq \emptyset$, then H does not have the Balas-Uhry property.*

Theorem 2 is trivial if every H_i is a perfect matchable graph, because every maximum $\{K_2, H_i | i \in I\}$ -subgraph F with minimum number of H components is already a matching. The Balas-Uhry Property has been previously proved for hypomatchable graphs (i.e., graphs H with $\text{def}(H) = 1$ and $A(H) = C(H) = \emptyset$) in [4]. Theorem 2 has been proved in a slightly different setting in [6] (this proof will not appear in the final version of [6]). We will present a more detailed proof in order to make this note self-contained.

Proof of Theorem 2. We may assume that every H_i satisfies $\text{def}(H_i) = 1$ and $C(H_i) = \emptyset$. Let G be a graph and F be a maximum $\{K_2, H_i | i \in I\}$ -subgraph of G with minimum number of H -components. Let M be a maximum matching of F , which is obtained from F by replacing each H -component by a maximum matching in it. For contradiction, assume that M is not a maximum matching of G . Then there exists an alternating path

P with respect to M that joins two unsaturated vertices u and v . For any pair a and b of vertices of P , Let us denote by $P(a, b)$ the segment of P with end-vertices a and b . Now we will define a sequence

$$u = y_0, x_1, y_1, \dots, x_n, y_n, x_{n+1} = v$$

as follows: Set $y_0 = u$, and if $y_0, x_1, y_1, \dots, x_i, y_i$ are already defined, set x_{i+1} to be the first vertex in $P(y_i, v)$ (in the direction from y_i to v) that lies in an H component distinct from that containing y_i . If there is no such vertex, set $x_{i+1} = v$ and $n = i$. Let N_i be the H -component containing x_i for every i , $1 \leq i \leq n$. Let N_0 denote the H -component containing $y_0 = u$ and N_{n+1} the H -component containing $x_{n+1} = v$, if such components exists. If $y_0, x_1, y_1, \dots, x_i$ are defined, then set y_i to be the last vertex in $P(x_i, v)$, which is in N_i and such that there is no vertex $z \in P(x_i, y_i)$, which lies in an H -component distinct from N_i ; however, if it happens that $y_i = v$, then we redefine $x_i = v$ and $n = i - 1$. Note that some N_i and N_j may coincide, but they must be distinct if $|i - j| = 1$. Furthermore, let $R_i = N_i \cup P(x_i, y_i)$ for every i , $1 \leq i \leq n$.

Claim. At least one of R_i/x_i and R_i/y_i is perfectly matchable for every i , $1 \leq i \leq n$.

We prove the claim. Assume one of x_i and y_i , say x_i , lies in $D(N_i)$. Let M_i be a perfect matching of N_i/x_i . Then a required matching of R_i/x_i is obtained by adding to M_i the K_2 -components of F that are in $P(x_i, y_i)$. Assume that both $x_i, y_i \in A(N_i)$. Let us denote by a and b the vertices of N_i matched by M with x_i and y_i , respectively. Let z be the vertex of N_i exposed by M . By considering M and a maximum matching of N_i with one exposed vertex a , we observe that there exists an alternating path Q in N_i with respect to M that joins z to a . Let c be the first vertex on Q , in the direction from z to a , which lies in $P(a, b)$ (c may be coincide with a or b). Then one of $Q(z, c) \cup P(c, a) \cup ax_i$ and $Q(z, a) \cup P(c, b) \cup by_i$ is an alternating path in R_i with respect to M . Let us denote this path by P' . Then $(M \cap E(R_i)) \triangle P'$ is a near perfect matching of R_i , exposing one of x_i and y_i . Therefore the claim is proved.

We distinguish two cases.

Case 1. R_1/x_1 or R_n/y_n has a perfect matching.

We may assume that R_1/x_1 has a perfect matching M_1 . If u is exposed by F , then $((F/R_1) \triangle P(u, x = 1)) \cup M_1$ is a $\{K_2, H_i | i \in I\}$ -subgraph of order greater than F , since its vertex set is $V(F) \cup u$. If u is saturated by F , then it lies in the H -component, which we denote by N_0 . Let M_0 be the perfect

matching of N_0/u used in building up M . Then

$$[(F/(N_0 \cup R_1)) \cup M_0 \cup M_1] \Delta P(u, x_1)$$

is maximum $\{K_2, H_i | i \in I\}$ -subgraph with strictly fewer H -components than F .

Case 2. Neither R_1/x_1 nor R_n/y_n has a perfect matching.

By the claim, there must be some i , $1 \leq i < n$, for which both R_i/y_i and R_{i+1}/x_{i+1} are perfectly matchable. Let M_i and M_{i+1} be the corresponding matchings. Then

$$[(F/(R_i \cup R_{i+1})) \Delta P(y_i, x_{i+1})] \cup M_i \cup M_{i+1}$$

is a maximum $\{K_2, H\}$ -subgraph with strictly fewer H -components than F .

Consequently, we derive a contradiction in each case, and thus the theorem is proved. \square

Proof of Theorem 3. Let H be a connected graph such that $\text{def}((H) \geq 2$ or $\text{def}(H) = 1$ and $C(H) \neq \emptyset$. We shall show that there exists a graph G such that a maximum matching of a maximum $\{K_2, H\}$ -subgraph of G with minimum number of H -components is not a maximum matching of G . We distinguish three cases.

Case 1. $\text{def}(H) \geq 3$.

Let u be a vertex in $D(H)$, and let G be a graph obtained from H by adding a new vertex w and by joining u to w by a new edge. Then $\text{def}(G) = \text{def}(H) - 1 \geq 2$, and H is a maximum $\{K_2, H\}$ -subgraph of G with minimum number of H -components. It is clear that a maximum matching of H is not a maximum matching of G .

Case 2. $\text{def}(H) = 2$.

Let H_1 and H_2 be two copies of H , and let $u_1 \in D(H)$ and $w_1 \in D(H_2)$. We construct a graph G from H_1 and H_2 by joining u_1 to w_1 by a new edge. It is easy to see that $\text{def}(G) = 2$ and G has a $\{K_2, H\}$ -factor $H_1 \cup H_2$. Suppose that G has a $\{K_2, H\}$ -factor F that contains exactly one H -component R . Let M be a maximum matching of R . If M does not contain the edge $u_1 w_1$, then the defect of M (i.e., the number of vertices of R unsaturated by M) is greater than or equal to four, and thus a maximum matching of F is not a maximum matching of G . Hence we may assume that M contains the edge $u_1 w_1$. Then $N_i = (M \cap H_i) \cup (K_2 - \text{components of } F \text{ in } H_i)$, $i = 1, 2$ is a maximum matching of H_i . Let $u_1, u_2 \in V(H_1)$ and $w_1, w_2 \in V(H_2)$ be the unsaturated vertices of N_1 and N_2 , respectively. Let l be the minimum number of bridges (cut-edges) in a path that joins the two unsaturated vertices

of a maximum matching of H . Let P be a path in R that joins the two unsaturated vertices u_2 and w_2 of M . Since a path $P \cap H_1$ joins the two unsaturated vertices u_1 and w_1 of a maximum matching N_1 of H_1 , $P \cap H_1$ contains at least l bridges. Similarly, a path $P \cap H_2$ contains at least l bridges. Hence the path P contains at least $2l + 1$ bridges. Therefore $l \geq 2l + 1$ since M is an arbitrary maximum matching of R . This is a contradiction. Consequently, we may assume that $H_1 \cup H_2$ is a unique $\{K_2, H\}$ -factor of G , and thus G possesses the required property.

Case 3. $\text{def}(H) = 1$ and $C(H) \neq \emptyset$.

Let H_1, H_2, H_3 be three copies of H . Let u and w be two vertices in $C(H_2)$ such that the edge uw is contained in some maximum matching of H_2 , and the distance between u and $D(H_2)$ is a maximum among all vertices in $C(H_2)$ (i.e., $d(u, D(H_2)) \geq d(x, D(H_2))$ for all $x \in C(H_2)$). Let a and b be vertices in $D(H_2)$ and $D(H_3)$, respectively. We construct a graph G from H_1, H_2, H_3 by joining u to a and w to b by new edges. We shall show that G has a unique $\{K_2, H\}$ -factor $H_1 \cup H_2 \cup H_3$.

It is easy to see that $\text{def}(H) = 1$. Suppose that G has a $\{K_2, H\}$ -factor F , which is not $H_1 \cup H_2 \cup H_3$. We first assume that F contains exactly one H -component R . Since $V(G)/V(R)$ are covered by K_2 -components of F , R contains a, b, u, w . Let N be a maximum matching of R . If N does not contain the edge ua , then $\text{def}(R) \geq \text{def}(H_1) + \text{def}(H_2) > \text{def}(h)$, a contradiction. Thus N contains ua , and similarly, N contains wb . Since N covers all the vertices in $(V(H_1) \cap R) \cup (V(H_3) \cap R) \cup \{u, w\}$, we have that $D(R) \subset V(H_2)$ and so $D(R) = D(H_2)$. It follows that $a \in C(R)$ and the distance between a and $D(R)$ is greater than that between u and $D(H_2)$, which contradicts the choice of u .

We next suppose that F contains two H -components R_1 and R_2 . Since $V(G)/(V(R_1) \cup V(R_2))$ are covered by K_2 -components and $|V(G)| \equiv |V(H)| \equiv 1 \pmod{2}$, we have a contradiction. Therefore F contains three H -components, and so $F = H_1 \cup H_2 \cup H_3$.

Since $\text{def}(H_1 \cup H_2 \cup H_3) = 3 > \text{def}(G)$, G possesses the required property. \square

It is well known that a maximum fractional matching, and also a maximum $\{K_2, H\}$ -subgraph for some graphs H with $C(H) = \emptyset$ and $\text{def}(H) = 1$ (see [3,4]), can be found in polynomial time. From that point of view, it might be surprising that the polynomial time algorithm cannot be extended to all graphs with the Balas-uhry property, but only to its proper subclass. The class of connected graphs H for which the $\{K_2, H\}$ -factor problem is polynomially solvable has been described in [5, 6].

Let us also remark that a survey of some other results on component

factors can be found in [1].

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