

# Graphs with the Balas-Uhry property

Mikio Kano

Akashi Technological College, Akashi, Japan

S. Poljak

Department of applied mathematics faculty of mathematics  
and physics, Charles University, Praha, Czechoslovakia

## Abstract

We characterize graphs  $H$  with the following property: Let  $G$  be a graph and  $F$  be a subgraph of  $G$  such that (i) each component of  $F$  is isomorphic to  $H$  or  $K_2$ , (ii) the order of  $F$  is maximum, and (iii) the number of  $H$ -components in  $F$  is minimum subject to (ii). Then a maximum matching of  $F$  is also a maximum matching of  $G$ . This result is motivated by an analogous property of fractional matching discovered independently by J. P. Uhry and E. Balas.

This article is motivated by a property of fractional matchings discovered by J.P. Uhry[8] and E. Balas[2]. We characterize the class of graphs to which the property can be naturally extended.

We consider finite graphs without loops or multiple edges. Let  $K_2$  denote the complete graph of order two and  $C_n$  denote the cycle of order  $n$ . Let  $\{A_i | i \in I\}$  be a set of graphs. A subgraph  $F$  of a graph  $G$  is called an  $\{A_i | i \in I\}$ -subgraph if each component of  $F$  is isomorphic to one of  $\{A_i | i \in I\}$ . A component of  $F$  that is isomorphic to  $A_i$  is called an  $A_i$ -component. A spanning  $\{A_i | i \in I\}$ -subgraph is called an  $\{A_i | i \in I\}$ -factor. An  $\{A_i | i \in I\}$ -subgraph  $F$  is said to be maximum if there is no  $\{A_i | i \in I\}$ -subgraph  $F'$  such that  $|V(F')| > |V(F)|$ . A fractional matching is a  $\{K_2, CC_{2n+1} | n \geq 1\}$ -subgraph, and J.P. Uhry and E. Balas proved the following theorem:

**Theorem 1** ([8,2]). *Let  $F$  be a maximum fractional matching of a graph  $G$  having minimum number of odd cycles. Then a maximum matching of  $F$  is also a maximum matching of  $G$ .*

We say that a set  $\{H_i | i \in I\}$  of graphs has the Balas-Uhry property if for every graph  $G$ , a maximum matching of a maximum  $\{K_2, H_i | i \in I\}$ -subgraph of  $G$  with minimum number of  $H$ -components is also a maximum matching of  $G$ , where an  $H$ -component means an  $H_i$ -component for any  $i$ . If the set  $\{H_i | i \in I\}$  consists of exactly one graph  $H$ , then we say that the graph  $H$  has the Balas-Uhry property. Note that a maximum matching of a  $\{K_2, H_i | i \in I\}$ -subgraph  $F$  is obtained from  $F$  by replacing each  $H$ -component by a maximum matching in it.

We expect the reader to be familiar with the basic notation of matching theory (see, e.g., [7]). A graph  $G$  is said to be perfectly matchable if  $G$  has a perfect matching. A matching with one exposed (unsaturated) vertex is called a near-perfect matching. We recall that the deficiency  $\text{def}(G)$  of  $G$  is the number of vertices unsaturated by a maximum matching. We denote by  $D(G) \cup A(G) \cup C(G)$  the Gallai-Edmonds decomposition of  $G$ . Namely, every maximum matching of  $G$  contains a near-perfect matching of each component of  $D(G)$ , a perfect matching of each component of  $C(G)$  and matches all vertices of  $A(G)$  with vertices in distinct components of  $D(G)$ . For two sets  $X$  and  $Y$ , we write  $X \triangle Y = (X/Y) \cup (Y/X)$ . We prove the following two theorems:

**Theorem 2** *A set  $\{H_i | i \in I\}$  of connected graphs has the Balas-Uhry property if one of the following holds for every  $i$ :*

- (i)  $H_i$  is perfectly matchable; or
- (ii)  $\text{def}(H_i) = 1$  and  $C(H_i) = \emptyset$ .

**Theorem 3** *Let  $H$  be a connected graph having no perfect matching. If  $\text{def}(H) > 1$  or  $C(H) \neq \emptyset$ , then  $H$  does not have the Balas-Uhry property.*

Theorem 2 is trivial if every  $H_i$  is a perfect matchable graph, because every maximum  $\{K_2, H_i | i \in I\}$ -subgraph  $F$  with minimum number of  $H$  components is already a matching. The Balas-Uhry Property has been previously proved for hypomatchable graphs (i.e., graphs  $H$  with  $\text{def}(H) = 1$  and  $A(H) = C(H) = \emptyset$ ) in [4]. Theorem 2 has been proved in a slightly different setting in [6] (this proof will not appear in the final version of [6]). We will present a more detailed proof in order to make this note self-contained.

**Proof of Theorem 2.** We may assume that every  $H_i$  satisfies  $\text{def}(H_i) = 1$  and  $C(H_i) = \emptyset$ . Let  $G$  be a graph and  $F$  be a maximum  $\{K_2, H_i | i \in I\}$ -subgraph of  $G$  with minimum number of  $H$ -components. Let  $M$  be a maximum matching of  $F$ , which is obtained from  $F$  by replacing each  $H$ -component by a maximum matching in it. For contradiction, assume that  $M$  is not a maximum matching of  $G$ . Then there exists an alternating path

$P$  with respect to  $M$  that joins two unsaturated vertices  $u$  and  $v$ . For any pair  $a$  and  $b$  of vertices of  $P$ , Let us denote by  $P(a, b)$  the segment of  $P$  with end-vertices  $a$  and  $b$ . Now we will define a sequence

$$u = y_0, x_1, y_1, \dots, x_n, y_n, x_{n+1} = v$$

as follows: Set  $y_0 = u$ , and if  $y_0, x_1, y_1, \dots, x_i, y_i$  are already defined, set  $x_{i+1}$  to be the first vertex in  $P(y_i, v)$  (in the direction from  $y_i$  to  $v$ ) that lies in an  $H$  component distinct from that containing  $y_i$ . If there is no such vertex, set  $x_{i+1} = v$  and  $n = i$ . Let  $N_i$  be the  $H$ -component containing  $x_i$  for every  $i$ ,  $1 \leq i \leq n$ . Let  $N_0$  denote the  $H$ -component containing  $y_0 = u$  and  $N_{n+1}$  the  $H$ -component containing  $x_{n+1} = v$ , if such components exists. If  $y_0, x_1, y_1, \dots, x_i$  are defined, then set  $y_i$  to be the last vertex in  $P(x_i, v)$ , which is in  $N_i$  and such that there is no vertex  $z \in P(x_i, y_i)$ , which lies in an  $H$ -component distinct from  $N_i$ ; however, if it happens that  $y_i = v$ , then we redefine  $x_i = v$  and  $n = i - 1$ . Note that some  $N_i$  and  $N_j$  may coincide, but they must be distinct if  $|i - j| = 1$ . Furthermore, let  $R_i = N_i \cup P(x_i, y_i)$  for every  $i$ ,  $1 \leq i \leq n$ .

**Claim.** At least one of  $R_i/x_i$  and  $R_i/y_i$  is perfectly matchable for every  $i$ ,  $1 \leq i \leq n$ .

We prove the claim. Assume one of  $x_i$  and  $y_i$ , say  $x_i$ , lies in  $D(N_i)$ . Let  $M_i$  be a perfect matching of  $N_i/x_i$ . Then a required matching of  $R_i/x_i$  is obtained by adding to  $M_i$  the  $K_2$ -components of  $F$  that are in  $P(x_i, y_i)$ . Assume that both  $x_i, y_i \in A(N_i)$ . Let us denote by  $a$  and  $b$  the vertices of  $N_i$  matched by  $M$  with  $x_i$  and  $y_i$ , respectively. Let  $z$  be the vertex of  $N_i$  exposed by  $M$ . By considering  $M$  and a maximum matching of  $N_i$  with one exposed vertex  $a$ , we observe that there exists an alternating path  $Q$  in  $N_i$  with respect to  $M$  that joins  $z$  to  $a$ . Let  $c$  be the first vertex on  $Q$ , in the direction from  $z$  to  $a$ , which lies in  $P(a, b)$  ( $c$  may be coincide with  $a$  or  $b$ ). Then one of  $Q(z, c) \cup P(c, a) \cup ax_i$  and  $Q(z, a) \cup P(c, b) \cup by_i$  is an alternating path in  $R_i$  with respect to  $M$ . Let us denote this path by  $P'$ . Then  $(M \cap E(R_i)) \triangle P'$  is a near perfect matching of  $R_i$ , exposing one of  $x_i$  and  $y_i$ . Therefore the claim is proved.

We distinguish two cases.

Case 1.  $R_1/x_1$  or  $R_n/y_n$  has a perfect matching.

We may assume that  $R_1/x_1$  has a perfect matching  $M_1$ . If  $u$  is exposed by  $F$ , then  $((F/R_1) \triangle P(u, x = 1)) \cup M_1$  is a  $\{K_2, H_i | i \in I\}$ -subgraph of order greater than  $F$ , since its vertex set is  $V(F) \cup u$ . If  $u$  is saturated by  $F$ , then it lies in the  $H$ -component, which we denote by  $N_0$ . Let  $M_0$  be the perfect

matching of  $N_0/u$  used in building up  $M$ . Then

$$[(F/(N_0 \cup R_1)) \cup M_0 \cup M_1] \Delta P(u, x_1)$$

is maximum  $\{K_2, H_i | i \in I\}$ -subgraph with strictly fewer  $H$ -components than  $F$ .

Case 2. Neither  $R_1/x_1$  nor  $R_n/y_n$  has a perfect matching.

By the claim, there must be some  $i$ ,  $1 \leq i < n$ , for which both  $R_i/y_i$  and  $R_{i+1}/x_{i+1}$  are perfectly matchable. Let  $M_i$  and  $M_{i+1}$  be the corresponding matchings. Then

$$[(F/(R_i \cup R_{i+1})) \Delta P(y_i, x_{i+1})] \cup M_i \cup M_{i+1}$$

is a maximum  $\{K_2, H\}$ -subgraph with strictly fewer  $H$ -components than  $F$ .

Consequently, we derive a contradiction in each case, and thus the theorem is proved.  $\square$

**Proof of Theorem 3.** Let  $H$  be a connected graph such that  $\text{def}((H) \geq 2$  or  $\text{def}(H) = 1$  and  $C(H) \neq \emptyset$ . We shall show that there exists a graph  $G$  such that a maximum matching of a maximum  $\{K_2, H\}$ -subgraph of  $G$  with minimum number of  $H$ -components is not a maximum matching of  $G$ . We distinguish three cases.

Case 1.  $\text{def}(H) \geq 3$ .

Let  $u$  be a vertex in  $D(H)$ , and let  $G$  be a graph obtained from  $H$  by adding a new vertex  $w$  and by joining  $u$  to  $w$  by a new edge. Then  $\text{def}(G) = \text{def}(H) - 1 \geq 2$ , and  $H$  is a maximum  $\{K_2, H\}$ -subgraph of  $G$  with minimum number of  $H$ -components. It is clear that a maximum matching of  $H$  is not a maximum matching of  $G$ .

Case 2.  $\text{def}(H) = 2$ .

Let  $H_1$  and  $H_2$  be two copies of  $H$ , and let  $u_1 \in D(H)$  and  $w_1 \in D(H_2)$ . We construct a graph  $G$  from  $H_1$  and  $H_2$  by joining  $u_1$  to  $w_1$  by a new edge. It is easy to see that  $\text{def}(G) = 2$  and  $G$  has a  $\{K_2, H\}$ -factor  $H_1 \cup H_2$ . Suppose that  $G$  has a  $\{K_2, H\}$ -factor  $F$  that contains exactly one  $H$ -component  $R$ . Let  $M$  be a maximum matching of  $R$ . If  $M$  does not contain the edge  $u_1 w_1$ , then the defect of  $M$  (i.e., the number of vertices of  $R$  unsaturated by  $M$ ) is greater than or equal to four, and thus a maximum matching of  $F$  is not a maximum matching of  $G$ . Hence we may assume that  $M$  contains the edge  $u_1 w_1$ . Then  $N_i = (M \cap H_i) \cup (K_2 - \text{components of } F \text{ in } H_i)$ ,  $i = 1, 2$  is a maximum matching of  $H_i$ . Let  $u_1, u_2 \in V(H_1)$  and  $w_1, w_2 \in V(H_2)$  be the unsaturated vertices of  $N_1$  and  $N_2$ , respectively. Let  $l$  be the minimum number of bridges (cut-edges) in a path that joins the two unsaturated vertices

of a maximum matching of  $H$ . Let  $P$  be a path in  $R$  that joins the two unsaturated vertices  $u_2$  and  $w_2$  of  $M$ . Since a path  $P \cap H_1$  joins the two unsaturated vertices  $u_1$  and  $w_1$  of a maximum matching  $N_1$  of  $H_1$ ,  $P \cap H_1$  contains at least  $l$  bridges. Similarly, a path  $P \cap H_2$  contains at least  $l$  bridges. Hence the path  $P$  contains at least  $2l + 1$  bridges. Therefore  $l \geq 2l + 1$  since  $M$  is an arbitrary maximum matching of  $R$ . This is a contradiction. Consequently, we may assume that  $H_1 \cup H_2$  is a unique  $\{K_2, H\}$ -factor of  $G$ , and thus  $G$  possesses the required property.

Case 3.  $\text{def}(H) = 1$  and  $C(H) \neq \emptyset$ .

Let  $H_1, H_2, H_3$  be three copies of  $H$ . Let  $u$  and  $w$  be two vertices in  $C(H_2)$  such that the edge  $uw$  is contained in some maximum matching of  $H_2$ , and the distance between  $u$  and  $D(H_2)$  is a maximum among all vertices in  $C(H_2)$  (i.e.,  $d(u, D(H_2)) \geq d(x, D(H_2))$  for all  $x \in C(H_2)$ ). Let  $a$  and  $b$  be vertices in  $D(H_2)$  and  $D(H_3)$ , respectively. We construct a graph  $G$  from  $H_1, H_2, H_3$  by joining  $u$  to  $a$  and  $w$  to  $b$  by new edges. We shall show that  $G$  has a unique  $\{K_2, H\}$ -factor  $H_1 \cup H_2 \cup H_3$ .

It is easy to see that  $\text{def}(H) = 1$ . Suppose that  $G$  has a  $\{K_2, H\}$ -factor  $F$ , which is not  $H_1 \cup H_2 \cup H_3$ . We first assume that  $F$  contains exactly one  $H$ -component  $R$ . Since  $V(G)/V(R)$  are covered by  $K_2$ -components of  $F$ ,  $R$  contains  $a, b, u, w$ . Let  $N$  be a maximum matching of  $R$ . If  $N$  does not contain the edge  $ua$ , then  $\text{def}(R) \geq \text{def}(H_1) + \text{def}(H_2) > \text{def}(h)$ , a contradiction. Thus  $N$  contains  $ua$ , and similarly,  $N$  contains  $wb$ . Since  $N$  covers all the vertices in  $(V(H_1) \cap R) \cup (V(H_3) \cap R) \cup \{u, w\}$ , we have that  $D(R) \subset V(H_2)$  and so  $D(R) = D(H_2)$ . It follows that  $a \in C(R)$  and the distance between  $a$  and  $D(R)$  is greater than that between  $u$  and  $D(H_2)$ , which contradicts the choice of  $u$ .

We next suppose that  $F$  contains two  $H$ -components  $R_1$  and  $R_2$ . Since  $V(G)/(V(R_1) \cup V(R_2))$  are covered by  $K_2$ -components and  $|V(G)| \equiv |V(H)| \equiv 1 \pmod{2}$ , we have a contradiction. Therefore  $F$  contains three  $H$ -components, and so  $F = H_1 \cup H_2 \cup H_3$ .

Since  $\text{def}(H_1 \cup H_2 \cup H_3) = 3 > \text{def}(G)$ ,  $G$  possesses the required property.  $\square$

It is well known that a maximum fractional matching, and also a maximum  $\{K_2, H\}$ -subgraph for some graphs  $H$  with  $C(H) = \emptyset$  and  $\text{def}(H) = 1$  (see [3,4]), can be found in polynomial time. From that point of view, it might be surprising that the polynomial time algorithm cannot be extended to all graphs with the Balas-uhry property, but only to its proper subclass. The class of connected graphs  $H$  for which the  $\{K_2, H\}$ -factor problem is polynomially solvable has been described in [5, 6].

Let us also remark that a survey of some other results on component

factors can be found in [1].

## References

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